



Report on strategies and opportunities in the LAs of the Alpine Space

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Organization PP10 – Ökoinstitut

Südtirol/Alto Adige

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Short Description

This document defines and describes the local needs of Local Authorities (LAs) based on the results of a desk research and the analysis of the past EU projects.

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Introduction

The project PEACE_Alps tackles the problems related to the implementation of Sustainable Energy Action Plans (SEAPs) or any other energy concepts already endorsed by Local Authorities (LAs) in the Alpine Space Area (ASA) by supporting LAs, about 100, in developing concrete actions with an inter-municipal approach. This is the core aim of the project and the way for reaching the main objective: establishing low carbon and adaptation to climate change (CC) policy instruments. The project proceeds by identifying local needs using a bottom-up approach, pooling them to create scale economies and then providing centralized solutions at regional level.

The project, designed to give continuity to the results obtained with the project SEAP_Alps, assumes that actions must be kept at a local level, but the process should be eased and innovated by gathering same needs and setting up centralized solutions exploiting the plus offered by a transnational approach. The addressed topic will be: energy management; energy refurbishment of buildings and public light; local adaptation to CC. These services will be set up and tested with a transnational mutual learning process among Project Partners (PP) and involved LAs. In order to ensure durability to the output, a multi-level cooperation on a long term perspective will be put in place. The outputs and experience gained will be spread out in the ASA (Alpine Space Area) with an effective engagement campaign of new regions interested in developing the same approach with policy recommendation at EU level.

Several countries over the Alpine Space are taking part in the project PEACE_Alps. The implementation of the project is carried on by the following organizations:



Country	Region	Organization
ITALY	Alto Adige	Ökoinstitut Südtirol/Alto Adige
	Torino	Piemont Region
FRANCE	Rhône-Alpes	Rhonalpenergie-Environnement
	Isère	AGEDEN
AUSTRIA	Steiermark	W.E.I.Z.
SLOVENIA	Gorenjska	BSC L.t.d, Kranj
	Pomurska	Development agency Sinergija
GERMANY	Bayern	EZA!
	Bayern	Energiewende Oberland - EWO
SWITZERLAND	Bern/Neuenburg/Jura	Planair SA

Table 1: PEACE_Alps Project's partnership

1 Desk research

Main objective of the WP3/WPT2 is to define local needs for technical support by using a bottom-up approach. The successful implementation of European-wide low carbon strategies significantly depends on the commitment of the stakeholders on local and regional level. Enabling bottom-up initiatives is a new governance approach to deliver a city wide low carbon strategy. The first activity, carried out at the beginning of the project by PP10 in order to get strategic information and prepare the ground for following activities, is the desk research. This research is finalized to: analyze firstly which are the needs of the LAs, secondly divide the involved municipalities into categories: e.g. population, geographical location, environment/climate issues: then to gather examples of approaches or tools that has been set up in other EU projects; finally, to find out which are the opportunities for LAs to use European funding for the implementation of their actions. The results of the desk research will be presented at local level by each PP (save PP9) during a regional start-up

forum involving LAs and interested stakeholders. Moreover, the forum will be an opportunity to start to bring out the real needs of local energy policy makers. A deepening will be made by arranging interviews with selected strategic stakeholders and LAs in order to state out a shared vision of local needs and provide the necessary information for the implementation of possible solutions in WP3/WPT2. At least 100 LAs and 100 stakeholders will be involved. A Regional Advisory Board (RAB) involving regional stakeholders, regional authorities and LAs (about 5 persons each) will have the role to monitor and provide guidance for possible adjustments during the process implementation. A tool, including the description of the process, the general results will be drafted by PP10. This tool will be a strategic instrument for durability and replicability of the project.

2 Identification of the local needs

The first instrument which has been used in order to define the local needs of the LAs is the SEAP of the Covenant of Mayors for Climate & Energy.

The Covenant of Mayors for Climate and Energy is a movement started in 2008. It brings together local and regional authorities who are committed to implement the EU's climate and energy objectives on their territory. Covenant of Mayors objectives include CO₂ reduction and adaptation to climate change. Every signatory aims to reach these shared goals on a local level, in order to provide their citizens with secure, sustainable and affordable energy.

The tools of the SEAP itself have been developed by the Covenant in order to understand which are the priorities for the municipalities. The acronym SEAP stands, indeed, for Sustainable Energy Action Plan. It is a document which aims to show how the Covenant of Mayors signatories intend to reach their CO₂ reduction goals fixed at a minimum of 20% by 2020. In October 2015 the EU Commission and the Covenant of Mayors have redefined the goal of the CO₂ emissions reduction at a minimum of 40% by 2030. It has also introduced the concept of mitigation, adaptation and safe energy reachable by all.

The document must define actions and measures aimed to reach the targets, as well as time frames and assigned responsibilities. Following the general guidelines, every member of the Covenant can choose the format for its SEAP. It is important to underline that the countries of the Alpine Space have developed around 1.030 SEAPs within their territories.

Despite this, the Sustainable Energy Action Plans are not the only programs related to energy savings and sustainability and not all countries in Europe are familiar with this tool i.e. Germany and Austria, therefore other extensive plans have been included in the research such as the ones related to the **European Energy Award (EEA)**. EEA is a program which



support municipalities willing to contribute to sustainable energy policy and urban development through the rational use of energy and increased use of renewable energies.

2.1 Methodology

In order to gain a full picture of the situation in the diverse regions, and in order to define the needs of the local authorities, the project partners have provided SEAPs or similar energy related programs from their region. As described in the previous paragraph the SEAPs (and similar energy plans) set the goal in term of CO₂ emissions reduction and include a description of the actions and the measures to implement in order to reach the established goals. The SEAPs, basically can be considered a sort of summary of the needs of a LA.

The SEAPs chosen for the analysis provide data from different kind of municipalities. The research has, indeed, took into account large, medium and small sized cities. Furthermore, all cities are different in the matter of temperature, altitude, climate and geographical features. This, in order to guarantee a broad area of analysis, and to guarantee the replicability of the results of the project in the alpine area thanks to the inclusion of all kind of communities presents in the alpine space.

Therefore, 61 SEAPs (and similar energy plans) have been analyzed in 355 municipalities, on a total of 1.534.376 inhabitants, cumulative SEAPs have also been considered (i.e. the Pays Roussillonnais in France and the Hochpustertal in Italy, which are conglomerations of municipalities).



Population density Δltitude Surface Degree Inhabitants (Inhabitants + km² MASL Day * tourists) Inh./km2 1 Bolzano 104.841 52 262 2.791 12.771 Rovereto 38.611 51 204 2.713 7.682 85 559 3.507 6.281 Bressanone 20.689 4 Hochpustertal 10.738 395 1.226 4.411 4.764 Tione di trento 3.595 33 565 3.272 889 6 Vermiglio 1.905 104 1.261 4.358 1.282 7 Spiazzo Rendena 1.256 71 645 3.405 3.023 Malles 5.149 247 1.051 4.131 1.189 Ökoinstitut 9 Nuova Ponente 3.902 112 1.357 4.642 2.943 Südtirol/Alto 10 Egna 5.176 24 214 2.899 1.085 Adige 11 645 2 212 2.721 14.148 Cortina 44 12 Villandro 1.906 880 3.826 3.129 Italy 13 Anterselva 2.878 122 1.030 4.285 3.710 14 Laces 5.180 79 639 3.443 3.064 5.554 67 554 3.118 7.416 15 Naturno 16 Fortezza 975 62 749 3.596 433 17 Tieres 978 42 1.028 4.050 2.749 7.904 18 Rio di Pusteria 2.961 84 3.646 777 19 Alpignano 17.118 12 330 2.825 2.412 3.247 56 750 3.479 95 20 Coazze Regione 21 Giaveno 16.506 71 506 3.113 397 2.727 394 Piemonte Poirino 10.543 75 249 23 Orbassano 23.050 22 273 2.634 2.176 24 Unione NET 142 2.651 2.077 120.874 229 25 Kranj 55.000 148 400 3.400 792 26 Tržič 15.000 155 400 3.900 109 27 Škofja Loka 23.000 145 350 3.450 223 BSC 28 Gorje 3.000 119 600 3.950 114 4.000 949 29 Bohinj 5.300 338 500 30 Žiri 5.000 49 480 3.600 109 31 Jezersko 600 69 900 4.700 114 Slovenia 1.363 10 367 3.001 139 32 Razkrizje 33 Moravske Toplice 6.203 145 203 3.201 46 34 Kuzma 1.642 23 218 3.201 72 249 Sinergija 35 Odranci 1.718 7 172 3.201 108 67 36 Puconci 6.256 206 3.201 37 Rogašovci 3.342 40 240 3.201 83 38 Turnišče 3.495 24 169 3.201 147 39 Oisans 10.800 546 720 3.375 1.219 40 Grésivaudan 51.000 212 400 2.500 2.623 Ageden 41 CAPI-CCVT 126.028 343 640 2.405 572 France 42 Trièves 9.750 631 640 2.690 199 43 Roussillonnais 51.000 212 400 2.600 703 Departement Rhône * 471.000 2.715 850 540 2.499 Rhonalpenergie 45 Comunitè d'agglomeration du Pays Viennois 68.200 452 275 500 2.524 46 1.650 18 663 3.657 94 Corgémont 96 47 Parc régional Chasseral * 37.000 387 800 3.657 witzerland Planair 48 Parc du Doubs 3 59.700 294 1.047 4 299 203 49 Ville la Locle 10.422 2.314 950 4.299 5 50 Vorau 4.783 81 660 3.480 191 Austria WFIZ Steirisches Wechselland * 10.390 3.560 51 190 190 116 52 Benediktbeuern 3.563 38 606 4.038 2.900 53 Bernried am Starnberger See 2.211 14 600 3.906 18.758 **EWO** 54 Eberfing 1.374 26 610 3.906 53 11.241 2.013 55 Miesbach 32 697 4.038 16.174 56 Penzberg 26 596 3.906 1.357 Germany 19.858 57 Günzburg 55 3.725 3.861 470 58 Durach 6.813 21 714 3.906 746 EZA! 59 Wertach 2.467 46 915 3.906 3.102 14.881 44 808 3.906 60 Füssen 22.854 61 Wiggensbach 32 857 3.906 4.875

Table 2: List of SEAPs analyzed 1

* The degree day is a conventional measure that defines how many days in a year the temperature was below the temperature, established ad the comfort temperature (i.e. in Italy the fixed temperature is 20 degrees whereas in Germany is 15 degrees). The bigger is the number related to the degree days, the colder is the area.



The results of the SEAPs and similar have been summarized according to the size of the different municipalities as follows:

- 9 SEAPs (or similar) planned by municipalities with a population over 50.000 people
- 10 SEAPs (or similar) planned by municipalities with a population between 50.000 and 15.000 people
- 42 SEAPs (or similar) planned by municipalities with a population less than 15.000 people

Moreover, the data collected has been normalized and the relevance of the action fields is based on the number of the measures foreseen by a municipality in a particular action field compared with the total number of the measures listed in that field, that means that the normalization was executed by the division of the total number of the X's in one action fields with the number of measurements in this action fields. In this way it is possible to calculate the preferences of the different municipalities and to compare the different action fields without being misled/conditioned by the number of the measures in the different fields.

The analysis of the SEAPs pointed out that all the municipalities involved in the research share the need to improve their energy performance within six big action fields:

- 1. **Public lighting**, in this category it is possible to find all measures that aim in replacing or plan new public lighting system.
- 2. **Promotion of renewable energy sources:** in this category fit all the interventions aimed to develop energy production systems that use renewable energy sources available in the area.
- 3. Energy efficiency improvement in public building.
- 4. **Sustainable mobility:** measures planned by the municipalities for the reduction of emissions from vehicular traffic and reduction and mitigation of the traffic itself are listed in this action field.

- Hochpustertal = 5 municipalities
- Unione NET = 6 municipalities
- Departement Rhône = 228 municipalities
- Comunitè d'agglomeration du Pays Viennois = 18 municipalities
- Parc regional Chasseral = 21 municipalities
- Parc du Doubs = 16 municipalities
- Steirisches Wechselland = 5 municipalities

^{*} The marked items are conglomerations of municipalities:



- 5. Awareness campaigns: this includes all actions aimed at raising awareness and public involvement in energy conservation and issues such as climate change, and CO₂ reduction. Some examples are education campaigns in schools and/or for public employees or citizens.
- 6. **Energy management:** measures identified by the municipalities to encourage the use of energy accounting systems and energy management systems, aimed at obtaining a better and efficient management of energy consumption by municipalities have been gathered together in this category.

Each field of action included a few intervention measures, which have been synthesized in 76 measures. Table 3 shows the list of measures identified according to how many times the measure has been applied, whereas figure 1 shows which measures are most frequently planned and used in the examined municipalities and regions.

Ν° List of actions applications ntervention in the public lighting sector energy refurbishment plan for public buildings 35 34 Informative campaigns for climate and environmental issues (CO2 reduction etc.) 32 Software for energy accountancy 31 To appoint an energy manager Promotion of the photovoltaic system 30 Energy audits for municipal buildings/energy certification 28 To adopt measure in urban development planning and in land-use planning 25 Environment, climate and energy education in schools 24 Promoting traffic reduction (pedestrian areas etc.) 11 24 Promotion of low emission cars 12 Informative campaigns about climate change (webpages, articles, flyers) 13 21 Increasing the district heating network 14 20 Promotion of green purchases 15 19 Cycling lanes development Carrying out a municipal energy balance or implement the SEAP 16 19 17 18 Municipal mobility plan 18 18 Car sharing 19 Energy consulting 18 20 17 Installation of solar thermal systems on residential buildings 21 Staff training about energy savings 22 16 Replacement of the existing heating systems with the renewable energy systems 23 16 Replacement of the lighting system in public buildings Green events and contests planning 16 25 Promotion of biogas and biomass system to produce electrical and thermal energy ncrease the hydroelectric power use 27 Energy efficiency incentives Promotion of energy savings and local product consumption 28 29 To be involved in the EEA program or similar 30 10 Promotion of local public transport 31 8 Promoting renewable energy development 32 Replacement of electronic devices/household electrical appliances 33 7 Improving pedestrian paths 34 7 Planning of car parking spaces 35 7 Use of biofuels 36 6 Energy cadastre for municipal buildings 37 6 Promotion of cycling 38 6 Coordination and promotion of private purchasing groups 39 6 Campaign "thermography for all" 40 Use of geothermal energy Hig standard for new buildings (Casa Clima A 40I/mq) 41 42 Replacement of diesel boilers with biomass boilers 43 Modal split model (studying the utilization rate of the different means of transportation) 44 4 CHG syngas system 45 Promoting electricity generation from wind turbine 46 Promption of electric vehicles 47 Replacing public lighting with LED 48 Promoting the replacement of lamps and household electrical appliances 49 Installation of heat pumps 50 nformative campaigns for eco-driving Meeting with biomass producers and technicians 52 Promoting the installation of heat pumps Meet 50% of the energy needs of the new buildings from renewable energy sources 54 Improving the energy efficiency of the productive processes 55 Energy cadastre for private buildings 56 Identifying investors to finance the energy efficiency measures 57 improving the efficiency of the public transport (timing systems, pass etc.) 58 Optimization of the public lighting system 59 Debating/exchanging ideas about energy efficiency Using "ISO 5001/14001" as an energy management system 60 61 Flow regulators for public lighting Innovative technical systems aimed to purchase 60% of the hot water needs from renewable energy 62 Energy meter 63 Installation of thermostatic valves 64 65 50/50 project for the public buildings bills (split the savings) 1 66 Replacing windows Improving indoor air quality in public buildings 67 68 Restrictions of the movement of Euro 0 and Euro 1 vehicles 69 Limited traffic zone in the downtown City Logistic (distribution of goods in the downtown) 70 71 implementing mobility management in bad weather conditions (information, buses etc.) 72 Mail delivery by e-bikes 73 Public information through "Parco delle Energie Rinnovabili" 1 Energy management promotion (notification of PUM) stablishment of an "alta pusteria" supra-municipal body for the execution of the SEAP

Table 3: List of measures

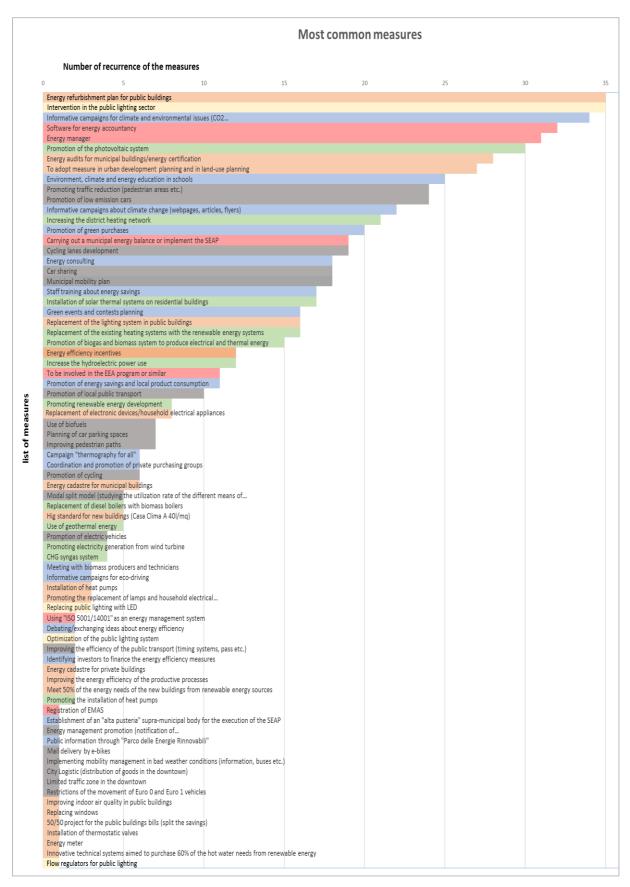


Figure 1: Most common measures



2.2 Measures

In order to better understand which are the needs perceived by the different local authorities in the area a clear list and a brief description of the measures chosen by the municipalities in their SEAPs is needed, therefore, in the following paragraph several measures divided per categories will be listed. The first sector studied is related to the macro-area of energy efficiency in public building, in which the most common measures are:

- 1. Energy refurbishment plan for public buildings: basically to plan and to prioritize the refurbishment of public buildings.
- 2. To adopt measure in urban development planning and in land-use planning in order to improve energy efficiency both in renovation of old buildings and in the constructions of new buildings.
- 3. Energy audits for municipal buildings or energy certification: i.e. the analysis of the public buildings and the definition or implementation of a building energy certification system.
- **4. Replacement of lighting in public buildings:** i.e. changing the light-bulbs with more efficient ones (e.g. LED).
- **5. Energy efficiency incentives**: i.e. definition of a set of rules and a budget that can help citizens to renovate their houses.

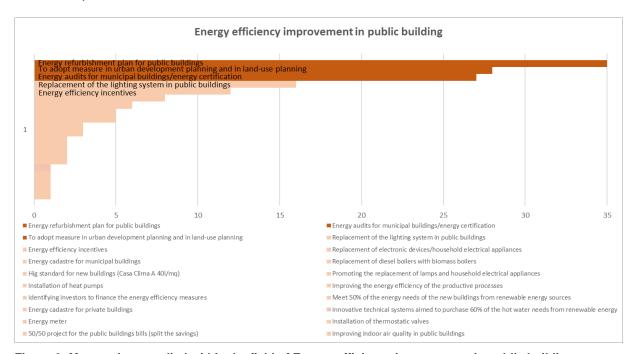


Figure 2: Most actions applied within the field of Energy efficiency improvement in public building

Linked to the previous topic is the energy management: which includes all those measure related to the analysis of the energy consumptions of a municipality: In this broad area, the most common measures are:

- 1. To adopt a software for energy accountancy such as EBO® or similar, which helps to reduce the energy consumption through a periodical check of the energy consumptions.
- **2. To appoint an energy manager:** i.e. a technician that not only is responsible for all the issues concerning energy but also to find new funding system in order to implement the measures established in the planning phase.
- **3. Carrying out a municipal energy balance or implement the SEAP**, i.e. municipalities decide to perform an analysis of their CO₂ emission and then to plan actions to reduce those emissions though a clear planning (SEAPs or other Energy plans).
- **4. To be involved in the EEA program or similar** e.g. e5, KlimaGemeinde, which support the municipalities to plan, check and control the activities linked to the energy sector through a set of standardized measures.
- **5.** Using "ISO 5001/14001" as an energy management system or other quality management systems help the municipalities in the standardization of the energy saving process.

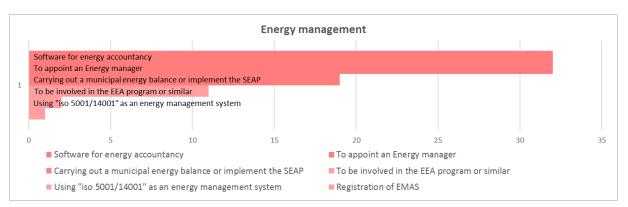


Figure 3: Most actions applied within the field of Energy management



In the awareness campaign macro area have been included all the actions aiming in producing a behavioral change in citizens. The main action listed in the SEAPs are:

- 1. Informative campaigns for climate and environmental issues (CO₂ reduction etc.), in other words campaigns aimed to educate the population and the internal staff of the municipalities trough events and fairs.
- **2.** Environment, climate and energy education in schools i.e. the promotion of a different and more sustainable life style (use of energy) in the youngest generations.
- 3. Informative campaigns about climate change (webpages, articles, flyers), this action includes all the campaigns aiming at educating the population only trough media and press.
- **4. Promotion of green purchases,** the creation and the use of guidelines for green purchase in the public procurement procedure.
- **5. Energy consulting:** create an info-point where citizens can have a free-consultancy on energy issues (i.e contracts, findings, technology).

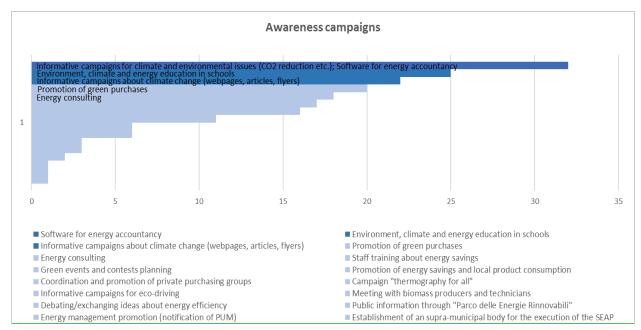


Figure 4: Most actions applied within the field of Awareness campaigns



Another topic arose in the analysis of the SEAPs is the public lightening, in this which the main actions listed are:

- **1. Intervention in the public lighting sector,** which often includes the implementation of a public lighting plan.
- 2. Replacing public lighting with LED which helps in reducing the costs and the consumption of the PL though the installation of new public street lamp.
- **3. Flow regulators for public lighting:** installation of flow regulators for public lightening in order to reduce the lighting pollutions and costs related.

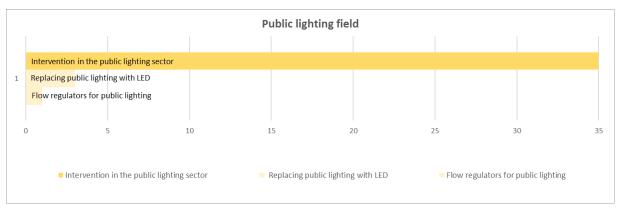


Figure 5: Most actions applied within the field of promotion public lighting

Furhermore, another topic which has been considered in the different energy plans is the promotion of renewable energy sources, which includes measure such as:

- 1. Promotion of the photovoltaic system
- 2. Increasing the district heating network.
- 3. Installation of solar thermal systems on residential buildings.
- 4. Replacement of the existing heating systems with the renewable energy systems
- 5. Promotion of biogas and biomass system to produce electrical and thermal energy.

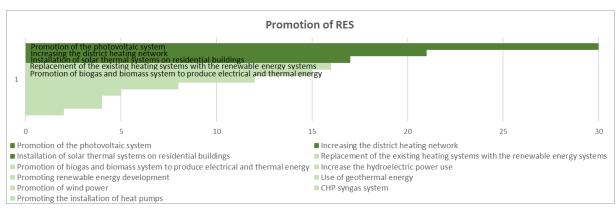


Figure 6: Most actions applied within the field of promotion of RES

Despite not really related to energy issues, another marginal topic mentioned in the plan of action is the mobility, since it is one of the main causes of CO₂ emissions. However, it is important to notice that often municipalities cannot really have an impact on this sector because it is a competence of provinces or regions. In this field the most common action mentioned are:

- 1. Promoting traffic reduction (pedestrian areas etc.).
- 2. Promotion of low emission cars, by buying new cars.
- 3. Development of Cycling lanes.
- 4. Municipal mobility plan.
- 5. Implementation of a car sharing system.

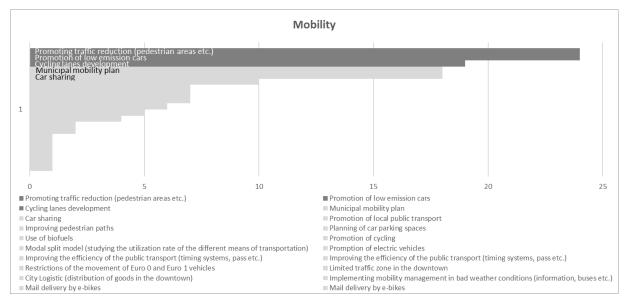


Figure 7: Most actions applied within the field of mobility



As metioned in figure 8, the most mentioned action field is the energy management, followed by the public lightenining; at the third place we can find the promotion of RES, then the awareness campaigns, followed by the sustainable mobility and finally the energy efficiency improvement in public and private building. As mentioned in the metholodogy, the results have been normalized and are based on all X's given to the possible measures from all municipalities in each action field.

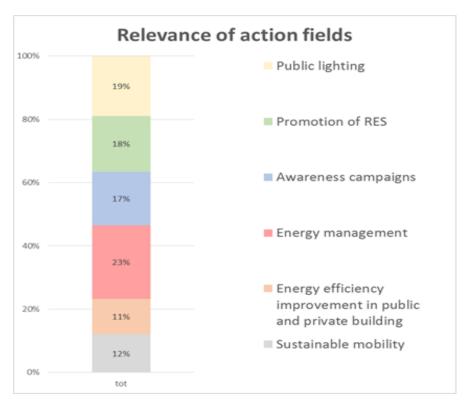


Figure 8 Results of the desk research

In the following figure (9, 10 and 11) the different action fields are represented as a function of municipality size, degree days and countries. Through this categorization it becomes visible whether there is any correlation between the relevant action fields and the prescribed categories.

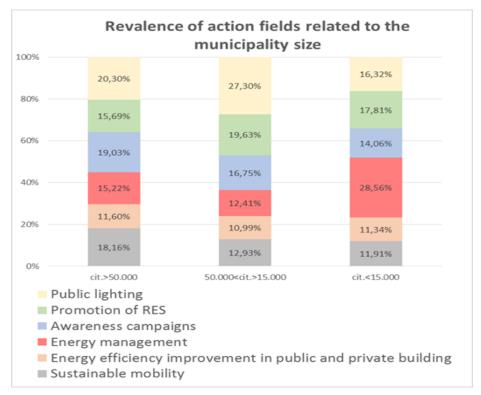


Figure 9 Relevance of action fields related to the municipality size

The two main statements you can derive from figure 9 are: "the bigger the municipality the more relevant is the action field sustainable mobility" and "the smaller the municipality the less important is the action field awareness campaigns". The percentage in the action field energy efficiency is independent from the municipality size (in each size category about 11%). What clearly can be seen, is that the action field energy management, is far more important for small municipalities in comparison to the other size categories in the diagram. This is probably due to the fact that alpine municipalities are usually smaller and characterized by harsh climate conditions and therefore, they have developed a particular attention to a more efficient energy management with a particular focus to the heating consumptions. Figure 10, which underlines the correlations between the action fields and the degree day, confirms the previous mentioned hypothesis.

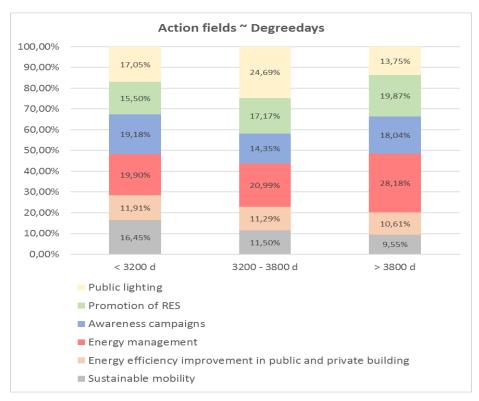


Figure 10 Relevance of action fields related to degree days

The two most relevant effects, you can see in figure 10 are that the relevance of the action fields promotion of RES and energy management increase with the number of degree days. There is also an effect in the action field of sustainable mobility. One possible explanation for the effect in sustainable mobility could be that municipalities with more degree days' focus more on energy issues, because it is more urgent for them in comparison to municipalities with less degree days. These municipalities focus more on the action fields where they can make sure they have the most CO₂-reduction and the most economic saving.

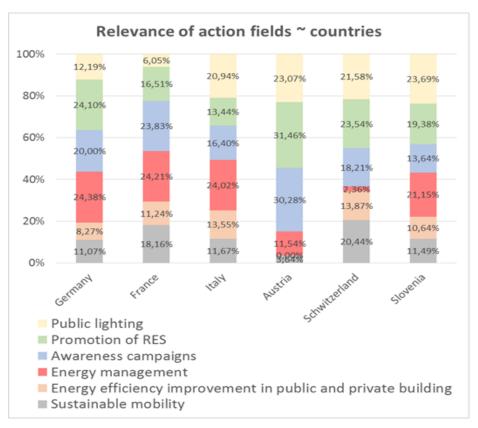


Figure 11 Relevance of action fields related to countries

Figure 11 shows that depending on the country the relevance of the different action fields differs from each other. Regarding the graphic it is important to notice that in Austria just two municipalities were evaluated. This is one possible reason, why the percentage for energy efficiency improvement in public and private building is zero, while this action field reached about 10 % in mean in the other countries.

Two further interesting results concern the correlation between the degree days and the altitude of the various municipalities, with the percentage of impact of the measures of the two action fields energy management and energy efficiency. Figure 12 and figure 13 show those municipalities with a high annual number of degree days and located at a higher altitude (with a greater need of heating systems during the year) are precisely those municipalities which have provided the greatest number of measures in the field energy efficiency of buildings. The results are valid for small, medium and large municipalities.



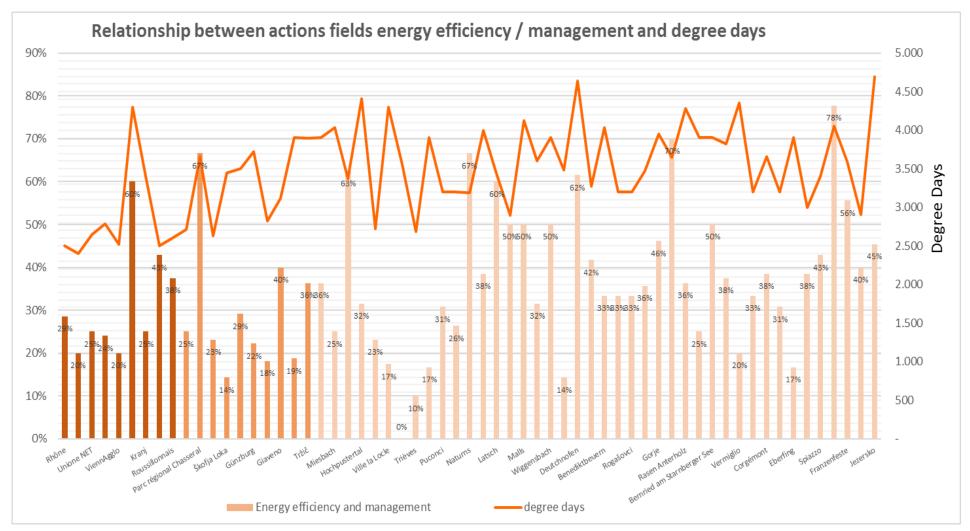


Figure 12: Relationship between energy efficiency improvement in public building and degree days (listed by municipality size)



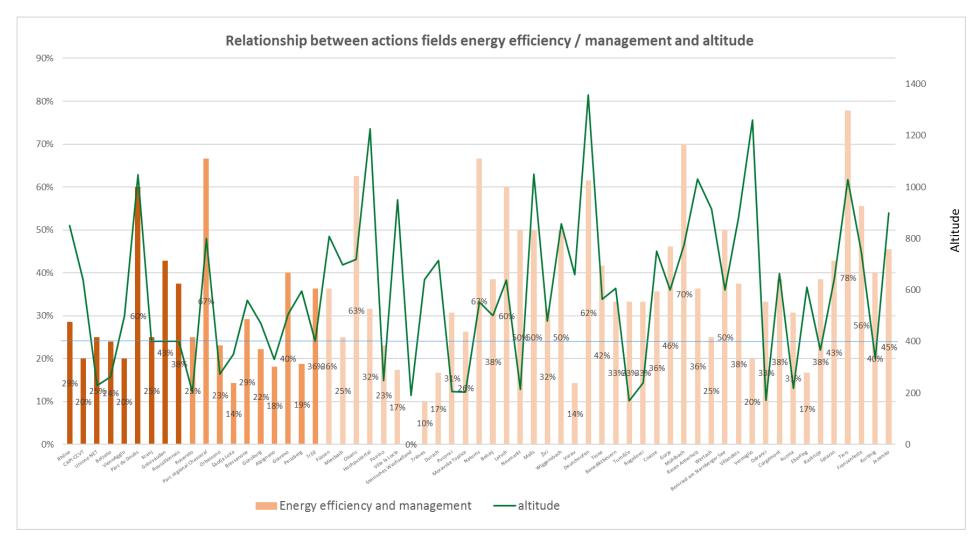


Figure 13: Relationship between energy efficiency improvement in public buildings and altitude (listed by municipality size)

2.3 Analysis/ results

As mentioned in the introduction of this document the PEACE_Alps project will address only the following topics: energy management; energy refurbishment of buildings and public light; local adaptation to climate change. Therefore, the analysis of the desk research will be focused on only four of the above mentioned action fields, which are: energy management, public lighting, awareness campaigns/adaptation to climate change, energy retrofit for public buildings. It is important to notice that the four action fields, which are in line with the project objective – cover about the 70% of the measures adopted by the municipalities surveyed. The remaining percentage is related to measures concerning sustainable mobility and development of renewable energy.

Moreover, it is important to notice that the analyzed SEAPs apparently do not provide many measures regarding the adaptation to climate change. This occurs because this issue is recently arising at the world attention. In the past, politicians and technicians were more focused on reducing the effects of climate change, whereas nowadays the climate change is a reality and the municipalities have to support the society in accepting its effects. Therefore, only recently, municipalities are considering to include measures linked to the adaptation to the climate change in their SEAPs, while the measures the municipalities have undertaken until now were mainly focused on climate change reduction. Nevertheless, since many SEAPs involve the implementation of awareness campaigns and education in schools as well as training courses for employees, if the topic addressed concern preparing people to climate change, they can fall under the macro-area of adaptation to climate change.

With reference to the four areas of action identified by the desk research and relevant to the project, the most actions implemented by municipalities are those shown in Table 4 and Figure 15 in which the measures are listed according to the preferences of the municipalities analyzed in the research.

These measures can be considered as the main needs of the municipalities, defined through the desk research in the PEACE_Alps project area.

N° of applications	List of actions
• •	Intervention in the public lighting sector
	Replacing public lighting with LED
1	Flow regulators for public lighting
32	To adopt a software for energy accountancy
32	To appoint an energy manager
19	Carrying out a municipal energy balance or implement the SEAP
11	To be involved in the EEA program or similar
2	Using "ISO 5001/14001" as an energy management system
34	Informative campaigns for climate and environmental issues (CO ₂ reduction etc.)
25	Environment, climate and energy education in schools
22	Informative campaigns about climate change (webpages, articles, flyers)
20	Promotion of green purchases
18	Energy consulting
35	Energy refurbishment plan for public buildings
28	Energy audits for municipal buildings/energy certification
27	To adopt measure in urban development planning and in land-use planning
16	Replacement of the lighting in public buildings
12	Energy efficiency incentives

Table 4: List of the most planned actions into the four action fields

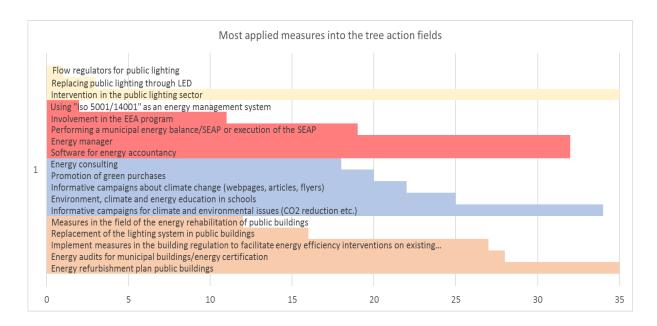


Figure 14: List of the measures applied in the four selected action fields (energy management, awareness campaigns, energy efficiency improvement in public building, public lighting)



3 Previous Projects

After having defined the needs of the LAs, the project aims to find possible standardized solutions, for this reason an analysis of the instruments and tools useful to answer the local need of the Local Authorities developed by a series of EU projects has been carried out.

3.1.1 ENERGY ACTION PLANNING

3.1.1.1LEAP

Objective:

The LEAP project aimed to foster and to build the capacity of EU local authorities as key actors in speeding up the local uptake of sustainable energy measures and the move towards a low-carbon economy. This happened through the direct exchange of experiences in sustainable energy action planning and implementation between local authorities, focusing on professional and political leadership, skills and knowledge, creating clear policy messages and gaining community and stakeholders support.

Results:

The LEAP project has developed a peer learning methodology including a combination of three elements: shadowing visits, peer review and mentoring.

3.1.1.2eRENeT

Objective:

The objective of the project was to add value to local actions in rural communities, and supporting them in the development, implementation and monitoring of their Sustainable Energy Action Plans (SEAPs) and capacity building of the related actors, through knowledge transfer from experienced communities.

Results:

The main result of the project is the methodology used by the partnership to define the local needs. The results of the project have been taken into account while writing this desk research.



3.1.1.3SEAP ALPS

Objective:

The project SEAP_Alps aimed to support local authorities in the development and implementation of Sustainable Energy Action Plans (SEAPs). Within the project, a guideline for the implementation of Sustainable Energy Action Plans has been developed with a special focus on the features of the Alpine Space communities: often small and medium cities with economies based on tourism. The SEAPs are being implemented and evaluated in more than thirty pilot communities of different Alpine regions.

Results:

In the project a tool has been developed that can be used by the several municipalities in order to prioritize the measures in which they want to invest. On the website it is possible to choose an area of intervention and a sub-area. Each of these sub-areas of intervention has an info-sheet that describes the action and the CO₂ saving potential, the estimated costs for a municipality, the ratio of cost-benefit and the implementation time frame.

3.1.1.4Data4Action

Objective:

The general purpose of Data4Action was the establishment of long-term data exchange models in sustainable energy planning, through a cooperation between public authorities and energy data providers. Long term collaboration models have been implemented through bilateral data exchange cooperation agreements and with the development of twelve regional observatories, representing more than five thousand municipalities.

Results:

The most important result of the project was the creation of a general database and the creation of a network within the data center, that all the stakeholders can address in order to obtain practical information.

3.1.1.1COOPENERGY

Objective:

COOPENERGY, financed by the Intelligent Energy Europe Program, supports the development of more effective collaboration between regional and local public authorities in



order to maximize positive energy planning outcomes and accelerate reduction of greenhouse gases

Results:

The project has collected a set of best practice examples of multi-level governance collaboration, in order to explain how local and regional authorities across Europe have collaborated with each other in order to develop and to deliver Sustainable Energy Action Plans (SEAPs) and initiatives. A database is available on the website.

3.1.1.1ENNEREG PROJECT

Objective:

The aim of ENNEREG has been to establish and to inspire EU Regions to take up the challenges of fulfilling the EU 20-20-20 climate and energy targets of at least 20% reduction in greenhouse gas emissions, 20% of increase in energy efficiency and 20% of energy from renewables by 2020.

Results:

The main output of the project is the Regions 202020 Inspiration Guide, based on experiences from the ENNEREG Pioneer Sustainable Energy Regions. The guide aims to provide all the information that the regions need in order to become a Sustainable Energy Region. The Inspiration Guide provides examples of other activities that may be replicated.

3.1.1.2CONURBANT

Objective:

CONURBANT starts from the consideration that EU small towns face strong difficulties in **energy management** and planning because of their lack of skills and resources, while medium and large cities have higher responsibility, due to their higher density of human activities. They also face more complicated issues concerning sustainable land using, planning and mobility.

The CONURBANT project aims at helping medium-large cities as well as the smaller towns in their urban area, through capacity building, using peer-to-peer support and training between less and more experienced municipalities, in the framework of the CoM.



Results:

The main result of the project is the "Toolbox of Methodologies on Climate and Energy", which can be used by local governments across Europe within their commitment in sustainable energy planning and actions. The toolbox provided guidance and advice to develop or to further improve Sustainable Energy Action Plans (SEAPs).

3.1.2 ENERGY MANAGEMENT

3.1.2.1COME

Objective:

The aim of the project was the creation of simple tools that communities can use to rate their "de-fact" situation and to use it to implement measures on energy savings.

Results:

The main output of the project is a catalogue that all municipalities can use in order to define their starting point in their path of efficiency, as well as a list of measures that they can realize in order to achieve their goals.

3.1.3 PUBLIC LIGHTING

3.1.3.1ENERCLOUD

Objective:

ENERCLOUD was a project aimed to monitor the energy consumption in buildings and public lighting systems by reading the bills. Indeed, the lack of a structured system of energy accounting used by the public administration is a critical element that results in excess energy consumption and economic costs.

Results:

The proposed solution can inspire other regions to develop similar instruments in order to register the energy consumption for a municipality using their bills. The tool could help LAs to identify potentially abnormal situations.



3.1.4 AWARENESS CAMPAIGNS / ADAPTATION TO CLIMATE CHANGE

3.1.4.1 Cities on Power

Objective:

"Cities on Power" promoted the use of renewable energy in urban areas. The four participating cities and regions: Warsaw, Klagenfurt, Ravenna and Turin, prepared together local action plans to boost renewable energy.

Results:

In the project an interactive tool has been delivered to support citizens in the decision making, as far as concerns new ways of energy supply. In this way the citizens could become on site investors of the renewable energy field.

In order to involve more stakeholders, the tool developed is divided in 4 topics:

- A communication instrument, which is suitable to raise awareness and acceptance.
- An IT-Toolbox with digital maps like a solar cadastral in order to support the decision making of investments in renewable energies
- A financial instrument in order to gather together alternative ways to bundle resources (e.g. capital, knowledge).
- A participation model like energy cooperatives or public power plants. Thereby people get the chance to invest in renewable energy plants or infrastructure together.

The interactive maps can be used only by the citizens of the participating cities whereas all the other instruments can be used in the whole alpine space.

3.1.4.1 Energo Optimun

Objective:

The main purpose of the Energo Optimun was to establish a cross border partnership aiming to foster energy efficiency of the region (especially in private buildings); based on the following pilot actions: IR (Infrared) audits of the buildings, analysis of energy efficiency in the industry/business, presentation of possible solutions on the virtual building-model, establishment of the dissemination base, implementation of dissemination products and establishment of interactive advisory centers.



Results:

The main results of the projects can be re-used in awareness campaigns. The project partners have created a set of education programs and e-materials for households, public and economy sectors, a mobile exhibition on topics concerning energy efficiency as well as a children education camp, with the construction of the model house E-plus. The project has finally established a cross border advisory center with an interactive advisor and two energy offices as well as a printed coloring book about energy savings.

3.1.4.1 Mountee

Objective:

The project «MountEE – Energy-efficient and sustainable building in municipalities in European mountain regions» supported communities in Sweden, the Alps and the Pyrenees in order to help them to achieve their objectives and to transform them into front runners. In six regions, about 30 public building have been built or renovated in sustainable and energy efficient way. Also, innovative practices have been carried out in order to improve the way to reach efficiency."

Results:

The main results of the project can be reused in awareness campaigns at regional, national and local level in order to explain the advantages of sustainable buildings, i.e. why building sustainable buildings, and what and who is needed to construct such buildings.

3.1.5 ENERGY RETROFITS FOR PUBLIC AND PRIVATE BUILDINGS

3.1.5.1 CASCADE

Objective:

CASCADE was a three-year project (2011-2014) of networking and peer-to-peer learning on local energy leadership. It supported cities in delivering the Europe 2020 targets for energy and climate change. The project focused on three thematic areas: **energy efficiency in buildings & districts**; **renewable energy sources & distributed energy generation**; **energy in urban transport.**



Results:

The main output of the project is the toolkit available on the website. This toolkit aimed to offer inspiration and guidance to city experts and decision makers on how to improve the implementation of climate change and energy policies in their cities. It consists of two sections: a library of peer-to-peer learning tools and a collection of good practices. In the first case, the library presents the four peer-to-peer learning methods tested in the project: peer review, mentoring, work shadowing and study visits. The collection presents the experience and the knowledge shared between the cities which participated in CASCADE peer-to-peer learning and networking activities.

3.1.5.2 2020Together

Objective:

The project aimed to implement strategies and territorial plans to support the energy efficiency of public buildings and lighting lines, as well as the energy production from renewable sources, through the design and the implementation of new financial support to the implementation of investment strategies.

The main actions envisaged were:

- energy audits to identify which public buildings were the more suitable candidates to be refurbished.
- involvement of small and medium enterprises and their incentive to set up business groups to participate in large contracts;
- research and promotion of new contractual arrangements, in line with the guidelines
 of the Energy Performance Contracting, as well as finding new opportunities for
 financial support through the search for private investors;

Results:

The most important result of the project, likely to be reused in the alpine space, is the research on contractual arrangements and the definition of new opportunities for financial support in order to finance the measures described in SEAPs.



3.1.5.1 CABEE

Objective:

CABEE is a transnational Alpine project aimed to create and to implement an Alpine-wide guideline for definition, procurement, production, assessment, promotion and learning about new and refurbished Nearly-Zero-Emission-Buildings (NZEB) and their neighborhoods.

Results

The common output is the establishment of an internet-based open-source support which sums up the results of on-going studies and experiences in EU-projects. The Alpine-wide Guideline (CESBA) is a basis for the hub.

3.1.6 PROMOTION OF RES

3.1.6.1 **ENSURE**

Objective:

It develops innovative approaches to raise energy efficiency through urban development and rehabilitation of the building stock.

Results:

The main results of the project were:

- Contracting models that offer recommendations on central topics. Those should be taken into account for structuring a transnational contracting model to boost investments in energy efficiency and renewable energy projects.
- Guidelines of innovative financial schemes, in order to support the dissemination of Renewable Energy Sources (RES) and Energy Efficiency (EE) technologies
- Guidance for Energy Smart Buildings
- Policy recommendations, which summarize the political actions and recommendations promoting an energy efficient urban development.



4 Financing Tools

Due to the extremely large impact of climate change and to the shared need of European countries to take concrete measures on this matter, the European Union makes available several support plans which aim to finance energy related programs and to help coordinating joint actions, by coupling research and innovation.

Below, there is a short description of the main European funds available in the area of energy efficiency, as well as their main areas of investment and their funding criteria.

4.1.1 EEEF (European Energy Efficiency Fund)

EEEF is an innovative public-private partnership dedicated to mitigate climate change through energy efficiency measures and the use of renewable energy in the member states of the European Union. It focuses on financing energy efficiency, small-scale renewable energy, and clean urban transport projects (at market rates) targeting municipal, local and regional authorities and public and private entities acting on behalf of those authorities.

EEEF's areas of intervention are: energy saving, energy efficiency, renewable energy sources and clean urban transport.

The final beneficiaries of EEEF are municipal, local and regional authorities as well as public and private entities acting on behalf of those authorities such as utilities, public transportation providers, social housing associations, energy service companies etc.

Investments can be made in Euro, or local currencies, however the latter is restricted to a certain percentage. To reach its final beneficiaries, EEEF can pursue direct investments or investments into financial institutions.

4.1.2 JESSICA – Joint European Support for Sustainable Investment in City Areas

JESSICA supports sustainable urban development and regeneration through financial engineering mechanisms. EU countries can choose to invest some of their EU structural fund allocations in revolving funds to help recycle financial resources to accelerate investment in Europe's urban areas.

Jessica's areas of intervention are urban infrastructure, heritage of cultural sites, redevelopment of brownfield sites, creation of new commercial floor space, university buildings and energy efficiency improvements.

Contributions from the European Regional Development Fund (ERDF) are allocated to Urban Development Funds (UDFs), which invest them in public-private partnerships or other projects included in an integrated plan for sustainable urban development. These investments can take the form of equity, loans and/or guarantees.

Alternatively, managing authorities can decide to channel funds to UDFs using Holding Funds (HFs), which are set up to invest in several UDFs. This is not compulsory, but does offer the advantage of enabling managing authorities to delegate some of the tasks required to implement JESSICA to expert professionals.

Owing to the revolving nature of the instruments, returns from investments are reinvested in new urban development projects, thereby recycling public funds and promoting the sustainability and impact of EU and national public money.

4.1.3 Horizon 2020

Horizon 2020 is the biggest EU Research and Innovation program ever with nearly €80 billion of funding available over 7 years (2014 to 2020) – in addition to the private investment that this money will attract.

Europe's leaders and the Members of the European Parliament agreed that research is an investment in our future and so put it at the heart of the EU's blueprint for smart, sustainable and inclusive growth and jobs. By coupling research and innovation, Horizon's goal is to ensure Europe produces world-class science, removes barriers to innovation and makes it easier for the public and private sectors to work together in delivering innovation.

Horizon's areas of intervention are excellent science, industrial leadership, societal challenges, spreading excellence and widening participation, science with and for society, cross cutting activities, fast track to innovation pilot, European Institute for Innovation and Technology (EIT), Euratom and smart cyber-physical systems.

Funding opportunities under Horizon 2020 are set out in multiannual work programs, which cover the large majority of support available. The current main Horizon 2020 work program comprises an introduction, 18 thematic sections and the general annexes describing general rules such as standard admissibility conditions and eligibility criteria, types of action, selection and award criteria, etc. Each thematic section is self-contained, and describes the overall objectives, the respective calls for proposals, and the topics within each call.



4.1.4 ELENA (European Local Energy Assistance)

ELENA is part of the EIB's (European Investment Bank) broader effort to support the EU's climate and energy policy objectives. This joint EIB-European Commission initiative helps local and regional authorities to prepare energy efficiency or renewable energy projects. It is on track to mobilize more than EUR 1.6bn in investments over the next few years.

ELENA's area of intervention are retrofitting of public and private buildings, sustainable building, energy-efficient district heating and cooling networks, environmentally-friendly transport etc.

Technical assistance supported by the ELENA facility can be provided to a local or regional authority or other public body or a grouping of such bodies.

ELENA covers up to 90% of the technical support costs needed to prepare, implement and finance the investment program. This could include feasibility and market studies, program structuring, energy audits and tendering procedure preparation. With solid business and technical plans in place, this will also help attract funding from private banks and other sources, including the EIB.

ELENA assistance may facilitate access to EIB finance or finance from another bank. It is possible to make the first contact by telephone, fax, e-mail or letter. First contact includes a brief description of the planned investment (e.g. type of investment, approach to implementation), the expected investment cost and time schedule for the program, plus the amount, scope and main needs to be addressed by the requested technical assistance.



5 Categorization of LAs

In order make useable the results of the desk research in the whole alpine area, it is important to categorize the municipalities. According to the findings of the desk research, the municipalities can be categorized in three main categories. The indicators are: the number of inhabitants, the climate stiffness and altitude, of course the altitude and climate harshness are strong related.

- 1. Categorization
 - Small: inhabitants < 15.000
 - Medium: 15.000 < inhabitants < 50.000
 - Big: inhabitants > 50.000
- 2. Categorization
 - Harsh climate: degree Day > 3.200
 - Mild climate: degree Day < 3.200
- 3. Categorization
 - Harsh climate: altitude > 450 m asl
 - Mild climate: altitude < 450 m asl

6 Conclusion

The desk research had the objective to identify and define, through a bottom up approach, the basic needs of the municipalities of the project participants in the Alpine regions in achieving the objectives of reducing CO₂ emissions.

The results of the desk research show in which action fields the municipalities have prioritized their needs and where they have decided to focus their attention in order to reduce their CO₂ emissions. The action fields identified by the desk research and in line with the purposes of the project are: energy management, public lighting, awareness campaigns/adaptation to climate change, energy retrofits for public buildings. For each action field the most commonly planned measures have been identified (see figure 15) by the municipalities, which can therefore be considered as primary requirements to meet the CO₂ reduction targets. The most chosen measures are listed below:

- Intervention in the public lighting sector
- Replacing public lighting with LED
- Flow regulators for public lighting
- To adopt a software for energy accountancy
- To appoint an energy manager
- Carrying out a municipal energy balance or implement the SEAP
- To be involved in the EEA program or similar
- Using "ISO 5001/14001" as an energy management system
- Informative campaigns for climate and environmental issues (CO₂ reduction etc.)
- Environment, climate and energy education in schools
- Informative campaigns about climate change (webpages, articles, flyers)
- Promotion of green purchases
- Energy consulting
- Energy refurbishment plan for public buildings
- Energy audits for municipal buildings/energy certification
- To adopt measure in urban development planning and in land-use planning
- Replacement of the lighting in public buildings
- Energy efficiency incentives

Those measures have to be considered such as a list of the compressive needs of the LAs and will be taken into consideration in the implementation phase (WP4/WPT3) by the different PPs.